This document describes the changes between the additions to Requirements for Certification Bodies version 1.1 that creates Requirements for Certification Bodies version 1.2.

General changes

Chapter 1: Introduction

<table>
<thead>
<tr>
<th>Topic</th>
<th>Requirements for CBs v1.1</th>
<th>Requirements for CBs v1.2</th>
<th>Justification for change</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.2. When to comply with the Requirements for Certification Bodies</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Requirements for Certification Bodies version 1.2 January 2019

#### Changes document

<table>
<thead>
<tr>
<th>Description of the addition</th>
<th>The Requirements for Certification Bodies version 1.0 was released in parallel with the UTZ Certification Protocol version 4.2, superseding the UTZ CB Monitoring System version 2.0, as well as the requirements previously described in sections 3.1, 3.2, and 4.4 of the UTZ Certification Protocol version 4.1. The Requirements for Certification Bodies version 1.1 is a minor amendment, making slight changes to requirement d) of section 2.2.4.</th>
</tr>
</thead>
</table>

The approval requirements outlined in chapter 2 have already been mandatory from July 2015, and will remain so from 1 January 2018 onwards, until they are superseded by a future version of this document.

CBs and their staff that were already approved under previous versions of the UTZ Protocol remain approved, provided that they are and remain compliant with the training requirements (see section 4.2: Training Modules) and minimum audit requirements (see section 2.2.1 and 2.2.4: Minimum Audit Requirement).

In case an already approved CB wishes to make changes to its approval (extend any of its scopes, add/ change Scheme Managers, certifiers or auditors, or add a scope for an already approved certifier/auditor), this shall be requested formally by the Scheme Manager via the UTZ traceability system or by contacting cbmanagement@utz.org. In case such change is requested, UTZ will review compliance based on this document (i.e. not against previous versions of the UTZ Certification Protocol).

---

### Explanation of the change

The UTZ Requirements for Certification Bodies 1.2 January 2019 supersedes the UTZ Requirements for Certification Bodies 1.1 January 2018. Version 1.2 is an amended version of version 1.2 January 2018. From April 1st 2019 onwards, the UTZ Requirements for Certification Bodies version 1.2 becomes mandatory and version 1.1 January 2018 is no longer valid.

The approval requirements outlined in chapter 2 have already been mandatory from July 2015, and will remain so from 1 January 2018 onwards January 2019 until they are superseded by a future version of this document.

CBs and their staff that were already approved under previous versions of the UTZ Protocol remain approved, provided that they are and remain compliant with the training requirements (see section 4.2: Training Modules) and minimum audit requirements (see section 2.2.1 and 2.2.4: Minimum Audit Requirement).

In case an already approved CB wishes to make changes to its approval (extend any of its scopes, add/ change Scheme Managers, certifiers or auditors, or add a scope for an already approved certifier/auditor), this shall be requested formally...
1.3. What is new in the Requirements for Certification Bodies?

by the Scheme Manager via the UTZ traceability system or by contacting cbmanagement@utz.org. In case such change is requested, UTZ will review compliance based on this document (i.e. not against previous versions of the UTZ Certification Protocol).
## Description of the addition

The *Requirements for Certification Bodies* introduces the following changes compared to the UTZ CB Monitoring System version 2.0 and UTZ Certification Protocol 4.1, becoming effective from 1 January 2018 onwards:

- ISO 17021:2015 is now considered a valid accreditation scope for CBs conducting Chain of Custody certification only (i.e. no Code of Conduct certification);
- A minimum number of audits is set for CBs and individual auditors for Code of Conduct;
- A lead auditor training course related to management systems has become mandatory for auditors;
- Indicators have been redistributed across the KPIs of the CB Monitoring system.
- Red card sanctions may be issued for a specific scope, with a flexible duration.

---

## Chapter 3: CB Monitoring
### 3.1. Introduction

#### Description of the addition

As a result of the merger, the Rainforest Alliance and the UTZ programs recognize each other’s CB Monitoring systems. This means that any CB monitoring results (data, decisions, etc.) of the UTZ program are relevant and may be taken into account for the CB Monitoring activities for the RA program, and vice versa.

If either of the CB monitoring programs determine that the CB’s credibility has been impacted, either or both of the CB monitoring programs may sanction, suspend or terminate the CB’s authorization or approval.

#### Justification for change

To merge RA-UTZ CB monitoring systems allowing them both organizations to take decisions together.